



# 華晉證券資產管理有限公司

## China Rise Securities Asset Management Co. Limited

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### 服務收費表 Fees & Charges

服務項目 Services	收費 Fees	最低/最高收費 Min./Max. Charges
<b>買賣證券交易費用 Trading Fees</b>		
佣金 Commission	面議 Negotiable	最低 HK\$70 Minimum Charges HK\$70
印花稅 Stamp Duty	成交金額的0.1% 0.1% of transaction amount	最低 HK\$1 (不足HK\$1亦當作HK\$1計算) Minimum Charges HK\$1 (round up to dollar)
交易徵費 Transaction Levy	成交金額的0.0027% 0.0027% of transaction amount	
香港交易及結算所交易費 Trading Fees	成交金額的0.00565% 0.00565% of transaction amount	
中央結算股份交收費 Clearing Fee	成交金額的0.002% 0.002% of transaction amount	最低 HK\$2 Minimum Charges HK\$2
財務匯報局交易徵費 FRC Transaction Levy	成交金額的0.00015% 0.00015% of transaction amount	最低HK\$0.01 (不足HK\$0.01亦當作HK\$0.01計算) Minimum Charges HK\$0.01 (round up to cent)
<b>帳戶服務及交易收費 Account Maintenance and Financing Services</b>		
存倉費 Custody Fee	不另收費 Free	
帳戶往來結餘記錄單重印 Re-issue Monthly Statement	如超過最近之三個月則每個月收費 HK\$50 HK\$50 per month for over past 3 months	
現金帳戶及託管帳戶結欠利息 Cash Account and Custodian Account Overdue Interest	最優惠利率 +6% Prime Rate + 6%	
保證金帳戶及託管帳戶結欠利息 Margin Account and Custodian Account Overdue Interest	最優惠利率 +3% Prime Rate + 3%	
斬倉手續費 Forced Selling Handling Fee	雙倍佣金 Double commission	
<b>處理實物股票及交收服務 Scrip Handling and Settlement-related Services</b>		
存入實物股票 Physical Scrip Deposit	每張股票或轉手紙 HK\$5 HK\$5 for each transfer deed	
提取實物股票 Physical Scrip Withdrawal	每手股票收費 HK\$5 HK\$5 per board lot	最低 HK\$30 Minimum Charges HK\$30
中央結算系統的交收指示(SI) CCASS Settlement Instruction (SI)		
(i) 提取 Withdraw	(i) 每隻股票每手收費 HK\$5 HK\$5 per lot per stock	最低HK\$100 最高HK\$2,000 (不足一手亦作一手計算) Minimum HK\$100, Maximum HK\$2,000 (Round up to the nearest board lot)
(ii) 存入 Deposit	(ii) 不另收費 Free	存入之股票如未有進行買賣而提取，本公司將追收該宗收費。 如使用銀行即時過數行家，每隻股票收費HK\$200。
投資交收指示費用(股票存入/提取)(ISI) Investor Settlement Instruction (ISI) (Deposit / Withdraw)	不另收費 Free	
<b>代理人及企業行動服務收費 Nominee Services and Corporate Actions</b>		
(i) 登記及過戶費 - 經結算所 Share Registration and Scrip Fees - through CCASS	(i) 每手HK\$3 HK\$3 per board lot	最低 HK\$3 Minimum Charges HK\$3
(ii) 登記及過戶費 Share Registration and Scrip Fees		
(a) 不涉及收取權所宣佈的記錄日期 Shares on hand before book-close	(ii) 每手 HK\$1.5 HK\$1.5 per board lot	最低 HK\$1.5 Minimum Charges HK\$1.5
(b) 在過去十二個月未有宣佈任何截止過戶日期，則以十二月三十一日計算 If no book-close for past 12 months will be deemed as 31 December for the year		
收集費(股息·紅利等) Collection Fee (Dividend, Bonus etc.)	代收金額的 0.12% 0.12% of dividend collected	(中央結算收取) (Pay to CCASS)
代收現金股息或以股代息 Cash Dividend and Scrip Dividend Collection Fee	代收金額的 0.5% 0.5% of dividend collected	最低 HK\$30 - 最高 HK\$10,000 Minimum HK\$30 - Maximum HK\$10,000
代收紅股 Bonus Issue Collection Fee	每手股票 HK\$1 HK\$1 per board lot	最低 HK\$30 - 最高 HK\$10,000 Minimum HK\$30 - Maximum HK\$10,000
代客行使供股權 Rights Exercise Fee	每手股票 HK\$1 HK\$1 per board lot	最低 HK\$30 - 最高 HK\$10,000 Minimum HK\$30 - Maximum HK\$10,000
行使認股權證·行使供股權·現金收購·公司收購或私有化 Claim of Dividend / Bonus shares	每手股票 HK\$1 HK\$1 per board lot	最低 HK\$30 - 最高 HK\$10,000 Minimum HK\$30 - Maximum HK\$10,000
代追股息/紅股 Claim of Dividend / Bonus shares	每單手續費HK\$300·另加代收金額之0.5%及結算所收費 HK\$300 per claim plus 0.5% of dividend collected and any correspondent charges	最低HK\$30 (股息) Minimum HK\$30 (Dividend)
<b>其他費用 Other Miscellaneous Charges</b>		
代辦新股認購手續費 Handling Charge for IPO Application	每宗申請 HK\$100 HK\$100 per application	
股票登記及轉名 Share Registration and Transfer Fees	每張股票HK\$5另加每單HK\$100手續費 HK\$5 per share certificate plus handling charges HK\$100 per transaction	
代辦買賣協議印花稅手續費 Handling Charges for stamping at IRD	每宗申請 HK\$100·另加每手股票HK\$5 HKD100.00 for each transaction	最低 HK\$100 - 最高 HK\$4,000 Minimum HK\$100 - Maximum HK\$4,000
電匯費用 Telegraphic Transfer (TT) Charges	每次電匯HK\$260 加銀行手續費 HK\$260 per remittance plus bank charges	
退票費用 Returned Cheque	每宗 HK\$200 HK\$200 per transaction for HK dollar cheque	

\*本公司有權更改以上收費而不作另行通知。Our company reserves the rights to adjust the charges above without prior notice.

\*Prime 最優惠利率為5.625%，本公司有權調整而不作另行通知。The prime rate is 5.625%. Our company reserves the rights to adjust the interest rate without prior notice.