



**服務收費表**  
**Fees Table**

服務項目 Services	收費 Fees	最低/最高收費 Min./Max. Charges
<b>買賣證券交易費用 Trading Fees</b>		
佣金 Commission	議價 To be advised	最低 \$70.00 Minimum Charges HKD70.00
印花稅 Stamp Duty	每\$1,000.00成交金額收\$1.00 HKD1.00 for every HKD1,000.00 transaction amount	最低 \$1.00(不足一元亦作一元計算) Minimum Charges HKD1.00 (round up to dollar)
交易徵費 Transaction Levy	成交金額的0.0027% 0.0027% of transaction amount	
聯交所交易費 Trading Fees	成交金額的0.005% 0.005% of transaction amount	
結算所結算費 CCASS Fees	成交金額的0.002% 0.002% of transaction amount	最低 \$2.00 Min Charges HKD2.00
<b>帳戶結欠利率 Interest Rate for Outstanding Balance (only for Cash Client Account)</b>		
逾期交收費 (只適用於現金客戶) Late Settlement Penalty (only for Cash Client Account)	最優惠利率 +6% Prime Rate + 6%	
<b>實物股票存放/提取 Securities Depository and Withdrawal</b>		
股票存放 Stock Custody Fee	豁免 [轉手紙釐印費(如適用): 每張轉手紙為港幣5元] Free [Transfer Deed Stamp Duty: HKD5.00 per transfer deed]	
股票提取 Physical Scrip Withdrawal	每手收費\$5.00 HKD5.00 per board lot	最低 \$30.00 Min Charges HKD30.00
交收指示轉倉費 Settlement Instruction (SI) Transfer Fees		
(i) 提取 Withdraw	(i) 每隻每手收費為\$5.00, 最低\$100.00 最高\$2,000.00 (不足一手亦作一手計算) HK\$5.00 per lot per stock, minimum HK\$100.00 maximum HK\$2,000.00 (Round up to the nearest board lot)	
(ii) 存入 Deposit	(ii) 豁免 Free	存入之股票如未有進行買賣而提取, 本公司將追收該宗收費, 如使用銀行即時過數行家, 每項輸入收費HK\$150.00
投資者轉費(股票存入/提取) Investor Settlement Instruction (ISI) Nominee Services	豁免 Free	
<b>代理人服務 Nominee Services</b>		
(i) 登記及過戶費 - 經結算所 Share Registration and Scrip Fees - through CCASS	(i) 每手\$3.00 HKD3.00 per board lot	最低 \$3.00 Minimum Charges HKD3.00
(ii) 登記及過戶費 Share Registration and Scrip Fees (a) 不涉及收取權所宣佈的記錄日期 Shares on hand before book-close (b) 在過去十二個月未有宣佈任何截止過戶日期, 則以十二月三十一日計算 If no book-close for past 12 months will be deemed as 31 December for the year	(ii) 每手\$1.50 HKD1.50 per board lot	最低 \$1.50 Minimum Charges HKD1.50
收集費(股息, 紅利等) Collection Fees (Dividend, Bonus etc.)	金額的 0.12% 0.12% of transaction amount	(中央結算收取) (Pay to CCASS)
代收現金股息或以股代息 Collection of cash and scrip dividend	金額的 0.50% 0.5% of transaction amount	最低\$30.00 - 最高\$10,000.00 Minimum HKD30.00 - Maximum HKD10,000.00
代收紅股 Collection of bonus issue	每手 \$1.00 HKD1.00 per board lot	最低\$30.00 - 最高\$10,000.00 Minimum HKD30.00 - Maximum HKD10,000.00
代供股 Exercise rights	每手 \$1.00 HKD1.00 per board lot	最低\$30.00 - 最高\$10,000.00 Minimum HKD30.00 - Maximum HKD10,000.00
行使認股權證, 行使供股權, 現金收購, 公司收購或私有化 Warrants/Rights Subscriptions, Cash/Open Offer or Privatisation	每手 \$1.00 HKD1.00 per board lot	最低\$30.00 - 最高\$10,000.00 Minimum HKD30.00 - Maximum HKD10,000.00
代索回未領取股息 Handling Charges for Unclaimed Entitlements	每單手續費\$300.00, 另加總額的0.5%再加結算所收費 HKD300.00 per claim plus 0.5% of total amount and any correspondent charges	最低\$30.00(股息) Minimum HKD30.00 (Dividend)
存倉費 Physical Scrip Deposit	豁免 Free	
<b>其他費用 Other Miscellaneous Charges</b>		
股票登記及轉名 Share Registration and Transfer Fees	每手\$5.00另加每單\$100.00手續費 HKD5.00 per board lot plus HKD100.00 per transaction	
認購新股 IPO / iBonds Application	每次申請\$100.00 HKD100.00 for each application	
代辦買賣協議印花稅手續費 Handling Charges for stamping at IRD	每張申請 \$100.00, 另加每手\$5.00 HKD100.00 for each transaction	最低\$100.00 - 最高\$2,000.00 Minimum HKD100.00 - Maximum HKD2,000.00
電匯費用 Telegraphic Transfer (TT) Charges	每項\$260.00, 另加銀行手續費 HKD260.00 per item plus bank's charges	
退票 Bounced Cheque	每張\$200.00 HKD200.00 for HK dollar cheque	
斬倉手續費 Forced Selling Handling Fee	雙倍佣金 Double commission	
帳戶往來結餘記錄單重印 Re-issue Monthly Statement	如超過最近之三個月則每個月收費\$50.00 HKD50.00 per month for over past 3 months	

\*華晉證券有權更改以上收費而不作另行通知。China Rise has the rights to change the above charges from time to time without prior notice.